IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

Examiner:

3693

Patel, Jagdish

Mail Stop AF

date indicated below to:

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450 December 26, 2007

I hereby certify that this correspondence is being transmitted via electronic filing on the

iii le application of.					
Thomas A. Rhee					
Serial No: 09/776,379					
Filed: February 2, 2001					
Confirmation No : 8077					

For: REAL LIFE IMPLEMENTATION OF MODERN PORTFOLIO THEORY (MPT) FOR FINANCIAL

PLANNING AND PORTFOLIO MANAGEMENT

Mail Stop AF Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is the cover sheet for an amendment under 37 C.F.R.§ 1.116 in the above-identified application.

Small entity status has been claimed. See 37 CFR § 1.27.

A Three Month Extension of time. No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR		(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE		ADD'L FEE DUE	
TOTAL CLAIMS FEE	1	-20	35	••		LG=\$50 SM=\$25	\$25	\$	
INDEPENDENT CLAIMS FEE	1	-3	3	***	0	I.G=\$200 SM=\$100	\$100	\$	0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS LARGE ENTITY FEE = \$380 \$MALL ENTITY FEE = \$180								\$	0
ADDITIONAL SIZE FEE (IF ANY) (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER) \$250 FOR EACH ADDITIONAL 50 SHEETS								8	0
Independent claims 39 TOTAL								\$	

- If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- If the 'Highest Number Previously Paid For' In' THIS SPACE is less than 20, write '20' in this space. The 'Highest Number Previously Paid For' In' THIS SPACE is less than 30, write '20' in this space. The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.
- The Commissioner is hereby authorized to charge the Deposit Account No. 50-1314 \$525 to cover the three month extension of time.
 - The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314.
 - Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims $\overline{\nabla}$

Any patent application processing fees under 37 C.F.R. § 1.17

Date: December 26, 2007

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Respectfully submitted, HOGAN & HARTSON L.L.P.

John P. Scheflacher Registration No. 23,009 Attorney for Applicant(s)